## SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF ORANGE

ROBERT BARON, an individual,	)
Plaintiff,	) ) )
VS.	) CASE NO.
	) 30-2019-01068571-CU-OE-CJC
THE REGENTS OF THE UNIVERSITY	) )
CALIFORNIA; and DOES 1-50,	)
Defendants.	) )

## **DEPOSITION OF**

PATHIK WADHWA, M.D.

**DATE:** Wednesday, February 10, 2021

**REPORTER:** Willie Anderson, Jr., CSR No. 13385

## **VIA REMOTE VIDEO TECHNOLOGY**



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                          DEPOSITION OF
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                        PATHIK WADHWA, M.D.
19
20
                    Wednesday, February 10, 2021
21
                              12:04 p.m.
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23
                      VIA REMOTE ZOOM VIDEOCONFERENCE
24
                      Los Angeles, California
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    Deposition of PATHIK WADHWA, M.D., called as a witness by
    the Plaintiff, before WILLIE ANDERSON, JR., Certified
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    Shorthand Reporter Number 13385, for the State of
    California, with principal office in the County of Los
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 5
    Angeles, commencing at 12:04 p.m., Wednesday, February 10,
 6
     2021, at VIA REMOTE ZOOM VIDEOCONFERENCE, Los Angeles,
7
    California.
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9
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1 MR. LINDEGREN: Just one housekeeping, Mr. Anderson and Mr. Baron. 2 3 My understanding is this is not videotaped. No one is recording. I just wanted to make sure that is 4 5 the case. 6 That's the case? 7 THE COURT REPORTER: Yeah. The only -- only thing that I'm -- I'm the only one here that's 8 9 recording. 10 MR. LINDEGREN: Right. Mr. Baron's not videoing it; there's no videographer? 11 12 THE COURT REPORTER: No, there's no video. Ιf there was a video, you would be able to see it. Up at 13 14 the top of the screen, you would see it would say, 15 "Recording." 16 MR. LINDEGREN: That's what I thought. 17 Okay. I just wanted to make sure. THE COURT REPORTER: Unless Mr. Baron has some 18 19 other device that he's using that I'm not aware of. 20 MR. BARON: As the notice stated, the court 21 reporter is the only recording. 22 MR. LINDEGREN: Okay. Great. 23 MR. BARON: Are we ready to go? Are we on the 24 record? 25 THE COURT REPORTER: We're on the record.

25

Ο.

1 PATHIK WADHWA, M.D., called as a witness by and on behalf of the Plaintiff, 2 3 having been first duly sworn, was examined and testified as follows: 4 5 6 EXAMINATION 7 BY MR. BARON: 8 Mr. Wadhwa, I'm the plaintiff in this case, and Ο. 9 I will refer to myself as the plaintiff. 10 Do you understand, Dr. Wadhwa? 11 Α. Yes. 12 And the questions I will ask pertain to the period of the plaintiff's employment with UC Irvine from 13 14 February 2017 to June 2017. 15 Do you understand? 16 Α. Yes. 17 Q. Is it true that plaintiff was fired from UC 18 Irvine? 19 Α. Yes. 20 Ο. Did you ever ask anyone at UC Irvine that plaintiff be fired? 21 22 Α. No. 23 Did you play any part in plaintiff's firing --Ο. 24 Α. No.

-- from UC Irvine?

1 MR. LINDEGREN: Let me just object. I think it's vague and ambiguous as to "any part." 2 3 You can answer. 4 THE WITNESS: No. 5 BY MR. BARON: 6 Okay. I think -- let me rephrase the question 7 because I think it was interrupted, so I'll say the 8 question again. 9 Did you play any part in plaintiff's firing from 10 UC Irvine? 11 MR. LINDEGREN: Objections. Vague and 12 ambiguous. 13 You can answer. 14 THE WITNESS: No. 15 BY MR. BARON: 16 Is it true -- give me one second. Ο. 17 Thank you. Is it true that plaintiff was fired because you 18 19 wanted him fired from his employment at UC Irvine? 20 MR. LINDEGREN: Calls for speculation. 21 You can answer. THE WITNESS: I don't know. 22 23 BY MR. BARON: I'll ask the question again, Dr. Wadhwa. 24 Ο. 25 Is it true that plaintiff was fired from UC

1 Irvine because you wanted him fired? 2 Α. I don't know. 3 Q. When you say you don't know, what do you mean? 4 Α. I mean, I --5 MR. LINDEGREN: Hold on. 6 Let me object. It's argumentative. I think 7 that question also lacks foundation. 8 But you -- you can answer. 9 THE WITNESS: Could you repeat the question, 10 please. 11 MR. BARON: Mr. Court Reporter, could you repeat 12 the question for the witness, please. 13 (Whereupon, the record was read back by the 14 Court Reporter as follows: 15 "When you say you don't know, what do 16 you mean?") 17 MR. BARON: Could you repeat the question before that one, Mr. Court Reporter. 18 19 THE COURT REPORTER: One moment. 20 (Whereupon, the record was read back by the 21 Court Reporter as follows: 22 "I'll ask the question again, 0. 23 Dr. Wadhwa. 24 "Is it true that plaintiff was fired from 25 UC Irvine because you wanted him fired?

- A. "I don't know.
- Q. "When you say you don't know, what do
- you mean?")
- 4 | THE WITNESS: I mean, no.
- 5 BY MR. BARON:
- 6 Q. So your answer, now, is "no"; is that correct?
- 7 A. Yes.
- 8 Q. Is it true that you fired the plaintiff in
- 9 retaliation to plaintiff's whistleblowing at UC Irvine?
- 10 A. I didn't fire the plaintiff.
- 11 Q. What was the reason, if any, for you wanting the
- 12 plaintiff to be fired from UC Irvine?
- MR. LINDEGREN: It's argumentative. Misstates
- 14 his testimony. Assumes facts not in evidence as to what
- 15 he wanted. Lacks foundation.
- You can answer.
- 17 THE WITNESS: I just said no when I was asked
- 18 | whether I wanted the plaintiff fired.
- 19 BY MR. BARON:
- 20 Q. Just -- just to add a helpful comment,
- 21 Dr. Wadhwa, the questions may seem repetitive, but they
- 22 are not. So if you need a question repeated, please say
- 23 so.
- 24 | A. Okay.
- 25 Q. Who is your employer currently?

- 1 The University of California, Irvine. Α.
- How long have you worked at UC Irvine? 2 Q.
- 3 Α. Since 2000.
- 4 What is your position at UC Irvine now? Q.
- 5 Α. Professor.
- 6 Which department do you teach in? Q.
- 7 I don't teach, but my department is the Α.
- 8 Department of Psychiatry and Human Behavior.
- 9 Q. Have you ever taught at UC Irvine?
- 10 Occasionally. Α.
- 11 When was the last time you taught at UC Irvine? Ο.
- 12 I don't recall. Probably five, six years ago, Α.
- 13 perhaps.
- 14 What was your position at UC Irvine in February Ο.
- 2017 to June 2017? 15
- 16 Professor. Α.
- 17 Q. During plaintiff's employment at UC Irvine, were
- you conducting a research study named Ecological 18
- 19 Momentary Assessment?
- 20 Α. Yes. The -- the study data collection had
- 21 already been completed some -- some time before that,
- 22 but -- so we were working on analyzing the data from
- 23 that study.
- 24 When was the data collected for the study? Ο.
- 25 Α. I would have to look at the grant to refresh my

- 1 memory. Um, I know that the data completion was perhaps
- a couple of years before 2017, so I believe the study 2
- 3 must have started around 2010.
- 4 Ο. Was this study also known as the EMA Study, as
- 5 abbreviated?
- 6 Α. Yes.
- 7 And EMA Study is spelled E, as in English; M, as Q.
- in Mary; A, as in alpha; is that correct? 8
- 9 Α. Yes.
- 10 Were you the primary investigator of the EMA Ο.
- 11 Study at UC Irvine?
- 12 Α. Yes.
- 13 Were you also the program director of the EMA Q.
- 14 Study at UC Irvine?
- 15 Α. Yes.
- 16 You have testified a few minutes ago that you
- started the EMA Study around 2010; is that correct? 17
- 18 Α. Yes, approximately around there, yes. Perhaps a
- 19 little earlier.
- THE COURT REPORTER: "Perhaps"? I'm sorry? 20
- 21 THE WITNESS: Perhaps a little earlier, but
- 22 something in that ballpark.
- 23 THE COURT REPORTER: Thank you.
- BY MR. BARON: 24
- 25 Is that when your involvement in EMA Study also Ο.

- 1 began, around 2010, maybe earlier?
- It began when the study started. 2 Α. Yes.
- 3 Q. Were you in charge of the EMA Study?
- 4 Α. I was the principal investigator.
- Were you also in charge of the EMA Study? 5 Q.
- 6 Α. Could you clarify what you mean by the word "in
- 7 charge."
- Were you the highest-ranking individual for the 8 0.
- 9 EMA Study?
- Were you -- I'll -- I'll add a clarification. 10
- 11 Were you responsible for the EMA Study?
- 12 Α. Yes.
- Were there any other managers, in addition to 13 Q.
- 14 yourself, on the EMA Study?
- 15 MR. LINDEGREN: Vaque as to time.
- 16 You may answer.
- 17 THE WITNESS: Yes, I don't know what you mean by
- 18 the term "managers."
- 19 BY MR. BARON:
- 20 Ο. Were there any other people that were
- 21 responsible for the EMA Study to the level that you were
- 22 responsible for the EMA Study?
- 23 Α. No.
- 24 All the other people had lesser
- 25 responsibility -- is that correct? -- than yourself.

- 1 A. Correct.
- Q. Is it true that the EMA Study involved pregnancy
- 3 trimesters?
- 4 MR. LINDEGREN: Vague as to "trimesters."
- 5 THE WITNESS: I don't know what you mean by
- 6 that.
- 7 BY MR. BARON:
- 8 Q. Just to clarify, Dr. Wadhwa, are you a medical
- 9 doctor?
- 10 A. Yes.
- 11 | Q. And you work primarily in -- in a pediatrics
- 12 | department; is that correct?
- 13 A. No. Incorrect.
- 14 Q. Okay. Which department do you work in?
- 15 A. Psychiatry and Human Behavior.
- 16 Q. Being a medical doctor, do you know what a
- 17 | pregnancy trimester is?
- 18 A. Yes.
- 19 (Mr. Christopher Richmond has remotely
- 20 entered the proceeding.)
- 21 BY MR. BARON:
- 22 Q. Could you give a definition of what a pregnancy
- 23 trimester is.
- 24 A. It's a term used to denote the trimester of
- 25 pregnancy.

- 1 What is a pregnancy trimester? Ο.
- It's a term used to denote a trimester of 2
- 3 pregnancy.
- How many trimesters are there in a human 4 Ο.
- 5 pregnancy?
- 6 Α. Three.
- 7 Was accurate calculation of pregnancy trimesters Q.
- 8 important to the EMA Study?
- 9 Α. Not particularly.
- 10 Why is that? Ο.
- 11 Can you -- can you clarify what you mean by, Α.
- 12 "Why is that"?
- I'll re- -- I'll repeat the question. 13 Q.
- 14 The question was: Was accurate calculation of
- 15 pregnancy trimesters important to the EMA Study?
- 16 The answer is -- and my answer is not
- 17 particularly.
- What do you mean by, "not particularly"? 18 Q.
- 19 MR. LINDEGREN: Argumentative.
- 20 You can answer.
- 21 THE WITNESS: What do I mean by, "not
- particularly"? 22
- 23 Um, we have other data in the study that is of
- greater indication of how far along a woman is in 24
- 25 pregnancy than a pregnancy trimester.

- 1 And, therefore, a pregnancy trimester is, for
- most analyses, almost superfluous. 2
- 3 BY MR. BARON:
- 4 Ο. Okay. You just testified that you have other
- methods in the study data. 5
- 6 What other methods are there in the study data?
- 7 Α. There are lots of methods, but are you asking
- 8 what other methods to determine how far along a woman is
- 9 in pregnancy?
- 10 I'm asking regarding EMA Study, in particular,
- that you were conducting. You testified that it was not 11
- 12 particularly important to have accurate calculations of
- 13 pregnancy trimesters. And you testified that there are
- 14 other methods present.
- 15 I'm asking: What other methods are you
- 16 referring to in regards to EMA Study?
- 17 Α. For calculation of pregnancy trimesters, that's
- what I want, to make sure that's what you're asking 18
- 19 about.
- 20 But that's -- assuming that's what you're asking
- 21 about, we have assessment of gestational age at any
- 22 point in the pregnancy.
- 23 And from the gestational age that a woman is at
- 24 any point in the pregnancy, that is a more important
- 25 variable for us.

- 1 Ο. Were the gestational age data collected for the
- EMA Study that you were conducting? 2
- 3 Α. Yes.
- 4 0. What was done with the gestational age data that
- you testified that was collected for the EMA Study? 5
- 6 MR. LINDEGREN: It's vague and ambiguous as to
- 7 what was done with it.
- 8 You mean, how was it used or something else?
- 9 MR. BARON: Counsel, if the witness has a
- question, I request that the witness ask the question, 10
- 11 not the counsel.
- 12 THE WITNESS: I don't understand what you mean
- by the term "how was it used." 13
- 14 Used for what purpose?
- 15 BY MR. BARON:
- 16 That is the question I'm asking, Dr. Wadhwa. Ο.
- 17 You stated that you collected gestational age
- 18 for the EMA Study.
- 19 So I asked you: What did you do with the
- 20 gestational age data that you say you collected?
- 21 MR. LINDEGREN: It's vaque and ambiguous as to
- what did he do with it. 22
- 23 THE WITNESS: We used the data in data analysis
- to know how far along the woman was at a given point in 24
- 25 time, when we collected other data on her.

- 1 BY MR. BARON:
- Q. Was the gestational age data that you say you
- 3 collected for EMA Study ever used to calculate the
- 4 trimesters?
- 5 A. Not to my knowledge, because we -- to my
- 6 knowledge, we never used trimester of pregnancy data.
- 7 We used gestational age when we conducted different
- 8 assessment.
- 9 Q. Is it true that, without accurate pregnancy
- 10 | trimester calculations, EMA Study would be based on
- 11 | inaccurate data?
- 12 A. That's untrue.
- 13 Q. Is it true that, without accurate pregnancy
- 14 | trimester calculations, EMA Study would contain faulty
- 15 data analysis?
- 16 A. Not true.
- 17 | Q. Is it true that, without accurate pregnancy
- 18 trimester calculations, EMA Study would contain faulty
- 19 | conclusions?
- 20 A. Not true.
- 21 O. Was the EMA Study funded by the National
- 22 | Institute of Health?
- 23 A. Yes. It's not Institute of Health.
- 24 | Q. Is NIH the abbreviation used for National
- 25 | Institutes of Health or Institute of Health?

- 1 A. Yes.
- 2 Q. Is NIH part of the federal government?
- MR. LINDEGREN: Lacks foundation. It's vague as
- 4 to "the government."
- 5 THE WITNESS: It's -- it's part of the
- 6 Department of Health and Human Services.
- 7 BY MR. BARON:
- 8 Q. Is the US Department of Health and Human
- 9 | Services part of the federal government?
- 10 A. I believe so, yes.
- 11 Q. Would that make NIH part of the US federal
- 12 | government?
- 13 A. Yes, through -- as I said, through the
- 14 Department of Health and Human Services.
- 15 Q. Was the EMS study federally funded?
- 16 A. Yes.
- 17 Q. In order to get funding from the federal
- 18 government, for the EMA Study, did you submit any
- 19 documents to the federal government?
- 20 A. Yes.
- 21 0. In the documents that you submitted to the
- 22 | federal government, did you state how you were going to
- 23 | calculate the pregnancy trimesters in the EMA Study?
- 24 A. Not to my recollection.
- 25 Q. Is it your testimony here today, Dr. Wadhwa,

- 1 that you don't recall what you told the federal
- government how you were going to calculate the pregnancy 2
- 3 trimesters in EMA Study?
- I don't recall that we even said that we 4 Yes.
- 5 would calculate pregnancy trimesters, so I don't recall
- 6 that we said we would even calculate them.
- 7 How does the question come up of, how we would
- 8 calculate them?
- 9 Ο. In the documents that you submitted to the
- 10 federal government, did you state how you were going to
- 11 calculate the gestational age in the EMA Study?
- 12 Α. Yes.
- In the documents that you submitted to the 13 Q.
- federal government, how did you state that you were 14
- 15 going to calculate the gestational age in the EMA Study?
- 16 We stated that the gestational age, at which
- 17 time any assessments, were conducted would be based on
- 18 ultrasound estimate of age of gestational age.
- 19 Is it true, based on your testimony here, that Ο.
- 20 you told the federal government that you will calculate
- 21 gestational age in EMA Study by ultrasound scans?
- 22 Gestational age would be calculated on the basis
- 23 of ultrasonography, yes.
- THE COURT REPORTER: "On the basis of"? 24
- 25 sorry?

1 THE WITNESS: Ultrasonography. Ultrasound 2 scans. 3 MR. LINDEGREN: Ultrasonography. Sonography, I 4 think, is what he's saying. 5 Soundography? Soundography? 6 THE WITNESS: Sonography. 7 MR. LINDEGREN: Sonography. 8 THE WITNESS: Should I spell that? 9 MR. LINDEGREN: Yeah. Why don't you spell it for the court reporter. 10 11 THE WITNESS: S-o-n-o-g-r-a-p-h-y. 12 MR. LINDEGREN: It's like a sonogram. BY MR. BARON: 13 By ultrasound sonography, are you referring to 14 0. 15 ultrasound scans? 16 Yes. That is how sonography is done, yes. 17 Q. Did you state on those -- in those documents 18 that you submitted to the federal government regarding 19 EMA Study that pregnancy trimesters and the gestational 20 age would be calculated by conducting ultrasound scans, 21 then? 22 MR. LINDEGREN: Asked and answered. Compound. 23 You can answer. THE WITNESS: The question to me was, did I 24 25 state pregnancy trimesters and gestational age would be

- 1 calculated? As I've previously testified, I don't believe I 2 3 said anything about calculating pregnancy trimesters. I don't understand. If I didn't say anything about that, 4 why I would say how it's to be calculated? 5 6 But as to the question of how gestational age 7 estimates are obtained, the answer remains, yes, we 8 stated that gestational age estimates would be based on ultrasound scans or ultrasound measurements. 9 10 BY MR. BARON: 11 Did you state in those documents that you 0. 12 submitted --13 (Telephonic Interruption.) 14 MR. LINDEGREN: Do you need to take that? 15 THE WITNESS: No, no. I'm trying to turn it 16 off. 17 I'm sorry. Could you start -- thank you. 18 BY MR. BARON: 19 You're welcome. 0. 20 Did you state in those documents that you 21 submitted to the federal government for EMA Study that 22 the gestational age of each woman in the study will be 23 calculated by ultrasound scans?
- 24 Α. Yes.
- 25 How many women participated in EMA Study? Q.

- 1 I think it was 250. Right around 250. Α.
- 2 Q. How many ultrasound scans were conducted on
- 3 those 250 women?
- Several. A woman had an ultrasound scan for 4
- dating of pregnancy with a clinical provider or OB 5
- 6 doctor, so that makes one.
- 7 Perhaps OB doctor, for various reasons, did more
- 8 ultrasound scans. And I wouldn't know off the top of my
- 9 head how many they might have done.
- 10 And then, for research purposes, we, in our
- 11 hands, did typically three scans -- one in early, one in
- 12 middle and one in late pregnancy.
- 13 Q. Did you conduct any ultrasound scans in the EMA
- 14 Study?
- 15 A. Yes.
- 16 Did you conduct ultrasound scans on each woman
- 17 in the EMA Study?
- 18 I would have to look at the record, but, if not, Α.
- 19 each -- certainly, almost each.
- 20 Ο. You testified earlier that you conducted
- 21 ultrasound scans on approximately 250 women; is that
- 22 correct?
- 23 A. Correct.
- You also testified that you conducted three 24 0.
- 25 ultrasound scans on each woman out of those 250

- 1 participants; is that correct? 2 MR. LINDEGREN: Vaque as to who. 3 You can answer.
- 4 THE WITNESS: Yes. At the most, three 5 ultrasound sessions. May have been less than that for 6 some women, but no more than three for any given 7 participant in the study.
- 8 BY MR. BARON:
- 9 So based on your testimony, even if there were Ο. three ultrasound scans conducted for each woman, at the 10 most, how many ultra scans would -- would there be for 11
- 12 EMA Study?
- 13 MR. LINDEGREN: Calls for speculation as to the 14 number of scans, scanning sessions.
- 15 There would be, at the most, 750 THE WITNESS: 16 scanning sessions.
- BY MR. BARON: 17
- 18 So, for the EMA Study that you were conducting, Ο. 19 at the most, there would be 750 ultrasound scans; is
- 20 that correct?
- 21 MR. LINDEGREN: Misstates his testimony.
- 22 He said scanning sessions.
- 23 THE WITNESS: That's what I said. There would 24 be, at the most, 750 sessions, when ultrasound scanning 25 was done or performed.

- 1 BY MR. BARON:
- What is the difference between ultrasound scans 2 Ο.
- 3 and ultrasound sessions?
- An ultrasound scanning session is when somebody 4
- 5 goes in for an ultrasound, and there's a period of time
- 6 when ultrasonography is happening or being conducted.
- 7 But the word "scan" is a vague term. Most
- 8 people may understand that as a session, or they may
- 9 understand that as a particular scan.
- 10 THE WITNESS: Should I explain myself?
- 11 MR. LINDEGREN: He can ask another question.
- 12 THE WITNESS: Okay.
- BY MR. BARON: 13
- 14 So if there was just one scan -- ultrasound scan 0.
- 15 for each of the 250 women, at the most, would that
- 16 amount to 750 ultrasound scans?
- 17 MR. LINDEGREN: Vague and ambiguous as to what
- 18 scan.
- 19 THE WITNESS: As I said, yes. For scanning
- 20 sessions, that would amount to 750 scanning sessions.
- BY MR. BARON: 21
- 22 How many ultrasound scans were conducted in the 0.
- 23 EMA Study?
- 24 MR. LINDEGREN: Lacks foundation. Calls for
- 25 speculation.

1 But you may answer, if you understand the 2 question. THE WITNESS: I already answered the question, 3 4 which is that three scanning sessions were conducted -one in early, one in middle and one in late pregnancy. 5 6 THE COURT REPORTER: Let me say, Mr. Lindegren, when you're doing your objections, you're kind of 7 8 trailing off at the end. 9 So I'm getting your -- your -- the beginning of it, "Lacks foundation, calls for speculation," and then, 10 whatever you're saying after that, is kind of low, and 11 12 I'm not getting that. 13 MR. LINDEGREN: I'm sorry, Mr. Anderson. I'll 14 speak up. 15 THE COURT REPORTER: Thank you. 16 BY MR. BARON: Dr. Wadhwa, I'll ask again. I'm not asking 17 Q. about ultrasonography sessions. 18 19 My question is: How many ultrasound scans were 20 conducted in the EMA Study? 21 MR. LINDEGREN: Objection. It's vaque as to 22 whether you mean files or scans or scanning sessions. 23 You can answer. THE WITNESS: I don't know what you mean by 24

"scan." Sorry. I can't answer that accurately.

25

- 1 BY MR. BARON:
- Do you know what an ultrasound scan is? 2
- 3 I know the procedure, yes. I know
- 4 ultrasonography. I don't know, as I said, what you mean
- by the term "scan." 5
- 6 MR. LINDEGREN: Are you -- are you asking how
- 7 many files there are for each participant?
- 8 MR. BARON: Counsel, let me just address the
- 9 witness, please.
- 10 MR. LINDEGREN: Well, I'm asking you for
- 11 clarification, Mr. Baron.
- 12 MR. BARON: I'll -- I'll clarify. I'll clarify.
- 13 MR. LINDEGREN: Are you asking about the number
- of files or the number of visits per person? It might 14
- 15 help.
- 16 Go ahead.
- 17 MR. BARON: And, again, please let the witness
- ask any questions, if he wants clarification. 18
- 19 MR. LINDEGREN: I was asking for --
- 20 MR. BARON: I'm asking --
- MR. LINDEGREN: -- me, Mr. Baron. It's 21
- 22 ambiquous.
- 23 MR. BARON: You can raise an objection, Counsel,
- but, please, do not interrupt the witness. 24
- 25 MR. LINDEGREN: No one has interrupted anyone,

- 1 Mr. Baron. I know that's your favorite saying, but no
- one interrupted anyone. 2
- 3 You can proceed, sir.
- 4 MR. BARON: I'll rephrase the question, once
- 5 again.
- 6 BY MR. BARON:
- 7 Do you know what an ultrasound scan is? Q.
- 8 Α. I don't know what you mean by the word "scan."
- 9 So it is your testimony here today that you Q.
- don't know what an ultrasound scan is? 10
- 11 MR. LINDEGREN: Argumentative. Misstates his
- 12 testimony.
- 13 THE WITNESS: No. That's not my testimony.
- 14 BY MR. BARON:
- 15 So when I ask the question, do you know what an
- 16 ultrasound scan is, your answer is that you don't know
- what -- what I mean by a scan; is that correct? 17
- 18 Α. Correct, by the term "scan."
- 19 Okay. The term I am asking about is ultrasound 0.
- 20 scan.
- 21 Do you know what an ultrasound scan is?
- I know what an ultrasound is. I don't know what 22 Α.
- 23 you mean by the term "scan."
- Earlier in your testimony today, you had stated 24 Ο.
- 25 that there were, at the most, three ultrasound scans

- 1 conducted for the 250 women in the study; is that
- 2 correct?
- 3 Α. No.
- 4 MR. LINDEGREN: Objection. Misstates his
- 5 testimony.
- 6 THE WITNESS: No, that's not correct.
- 7 BY MR. BARON:
- 8 Okay. What is the correct answer? Ο.
- 9 Correct answer, to the best of my recollection, Α.
- was that I stated there were, at the most, three 10
- ultrasound scanning sessions. 11
- 12 Okay. Let me ask you this: In those ultrasound Q.
- 13 scanning sessions, were any ultrasound scans taken?
- 14 Again, I don't know what you mean by "scan." Α.
- 15 And, now, I don't know what you mean by "taken."
- 16 What is a "scan," and what does "taken" mean?
- 17 MR. LINDEGREN: I'm going to object as to the
- way the question is phrased, what you mean by "scan." 18
- 19 Are you talking about ultrasound files or just
- 20 one session?
- 21 MR. BARON: Counsel, I'm, again, asking you to
- 22 only state your objections, if you have any. Other than
- 23 that, let the witness answer or ask for clarification.
- 24 MR. LINDEGREN: He has, and you refused to
- 25 answer or clarify.

- 1 MR. BARON: Because you keep interrupting,
- Counsel. Please, do not. 2
- 3 BY MR. BARON:
- 4 I will ask one more time in an effort to get an
- 5 answer.
- 6 Dr. Wadhwa, do you know what an ultrasound scan
- 7 is?
- 8 Α. I don't know what you mean by the term "scan."
- What was the location where ultrasound sessions 9 Q.
- of EMA Study were conducted? 10
- 11 They were either conducted on these women at Α.
- 12 their clinical provider, wherever that may be; or the
- 13 ones that were done for research purposes were done in
- our research suite, which is in the City Tower Building 14
- 15 in Orange.
- 16 For the purpose of the EMA Study that you were
- conducting, is it your testimony that ultrasounds were 17
- 18 conducted at your facility in Orange, California?
- 19 Yes, the ones that we used, as I've stated, for
- 20 research purposes. We also have the ultrasound data
- 21 from ultrasonography that was done at their clinical
- 22 providers. And both of those data elements are used in
- 23 our study.
- Would you -- could you give me the name of the 24 0.
- 25 facility where you conducted these ultrasound EMA Study

- 1 participants?
- 2 A. The ones we did for research purposes were
- 3 conducted at our research suite, which is located in a
- 4 building called the City Tower Building, which is
- 5 located in the City of Orange in the State of
- 6 | California.
- 7 Q. And when were these ultrasounds conducted for
- 8 EMA Study?
- 9 A. I don't know what you mean by "when." Are you
- 10 saying -- I mean, perhaps, the answer you may be looking
- 11 for is, they were conducted while the women were
- 12 participants in our study.
- But if you mean something else, well, then,
- 14 | please clarify.
- 15 Q. I'm asking if you can give me a month or a year
- when these were conducted for the EMA Study.
- 17 A. No, I can't.
- 18 | Q. You're unable to give me even a year that they
- 19 | were conducted?
- 20 A. All I can tell you is they were conducted while
- 21 the women were participants in our study. The study ran
- 22 for about five years.
- 23 So during that five-year period would have been
- 24 when these ultrasounds were -- were performed for the
- 25 purpose of our study.

- 1 Ο. Can you provide any names of any personnel who
- conducted the ultrasounds in EMA Study? 2
- 3 Α. Yes.
- Q. 4 When can you provide those?
- 5 MR. LINDEGREN: When, or are you asking who?
- 6 BY MR. BARON:
- 7 When are you able to provide those? Are you
- 8 able to provide those later today after the deposition
- 9 or next week? I'm asking: When are you?
- 10 Right now, if you want. Α.
- 11 Okay. So after the depositions --Q.
- 12 MR. LINDEGREN: Excuse me. I'm going to object.
- 13 We're not keeping the deposition open. He's inviting to
- 14 you ask him right now, Mr. Baron.
- 15 BY MR. BARON:
- 16 Do you recall the names, as we sit here right
- 17 now, and how many personnel are there?
- 18 MR. LINDEGREN: Compound.
- 19 You can answer.
- 20 THE WITNESS: There were three doctors who
- 21 primarily did the ultrasound or the studies for our --
- 22 our research study.
- 23 BY MR. BARON:
- 24 What were their names? Ο.
- 25 Α. The first one Dr. Kaeko Sumiyoshi.

- 1 Could you spell their names for the court Ο.
- reporter, please? 2
- 3 Yeah. The first one is Dr. Kaeko Sumiyoshi.
- K-a-e-k-o. That's her first name. Her last name is 4
- 5 Sumiyoshi, S-u-m-i-y-o-s-h-i.
- 6 The second is Dr. Masa. I'm not remembering his
- 7 last name correctly. But let me just say his first name
- 8 was Masa, M-a-s-a.
- 9 The third doctor is Satoru Ikenoue. The first
- 10 name is S-a-t-o-r-u. And the last name is Ikenoue,
- 11 I-k-e-n-o-u-e.
- 12 And you're -- you don't recall Dr. Masa's last
- 13 name; is that correct?
- 14 I don't precisely recall it. Α.
- 15 Are you able to provide that later on? Ο.
- 16 I can -- yeah, I can give to you approximately,
- if that works. 17
- MR. LINDEGREN: Why don't you give him what you 18
- remember? 19
- 20 THE WITNESS: I remember it being Ohasa,
- 21 0-h-a-s-a.
- BY MR. BARON: 22
- 23 Okay. If -- if it is any different or Ο.
- completely different than that, then, please, let -- let 24
- 25 us know.

- How many participants -- or let me rephrase that 1 question. 2
- 3 You testified earlier today that there were
- 4 approximately 250 participants in the EMA Study; is that
- 5 correct?
- 6 Α. Correct.
- 7 Is an ultrasound unique to a pregnant woman? Q.
- 8 Α. I don't understand what you mean by that.
- 9 Can one pregnant woman's ultrasound be used to Q.
- 10 calculate a different woman's pregnancy trimester?
- 11 Again, I don't know about pregnancy trimester, Α.
- 12 but if you're asking about gestational age and
- 13 assessment, no.
- 14 One woman's ultrasound sonography cannot be used
- 15 to compute a different woman's gestational age at the
- 16 given time of the pregnancy.
- 17 Did you tell the federal government that you Ο.
- will calculate pregnancy trimesters via medical records 18
- 19 of the pregnant women in EMA Study?
- 20 MR. LINDEGREN: Misstates his testimony. It's
- 21 argumentative.
- 22 THE WITNESS: We just told -- we just state in
- 23 our grant application that gestational age and
- 24 assessment are -- at delivery, would be based on
- 25 ultrasonography.

- 1 We did not state which - whether it would be
- 2 clinical ultrasonography or research ultrasonography
- 3 that we did.
- 4 BY MR. BARON:
- 5 Ο. Did you take medical records of each pregnant
- 6 woman in the EMA Study?
- 7 Α. Yes.
- 8 Q. Did the medical records of each pregnant woman
- 9 in the EMA Study contain the gestational age or the
- trimester information? 10
- 11 Gestational age is not the same as trimester, as Α.
- 12 I keep telling you. But, yes, the medical records do
- 13 contend -- they date her pregnancy. So, at any given
- time, you can know what her gestational age is. 14
- 15 Okay. Once again, Dr. Wadhwa, if you would 0.
- 16 listen to my question carefully, they might seem
- 17 repetitive, but they are not.
- 18 MR. LINDEGREN: I'm going to object to your
- 19 comment to listen to your question carefully.
- 20 BY MR. BARON:
- 21 Did you calculate each pregnant woman's tri- --0.
- 22 trimester and EMA Study by using the ultrasound that you
- 23 conducted in EMA Study?
- 24 MR. LINDEGREN: Asked and answered.
- 25 THE WITNESS: The answer is no. We did not

- 1 calculate the trimester.
- 2 BY MR. BARON:
- 3 | Q. Did you calculate each pregnant woman's
- 4 gestational age in EMA Study by using her medical
- 5 record?
- 6 A. Yes.
- 7 Q. Did the plaintiff inform you that he could not
- 8 | find any basis for the pregnancy trimester values in the
- 9 EMA Study data?
- 10 A. Something to that effect, yes.
- 11 | Q. Did the --
- MR. LINDEGREN: Hold on.
- I have an objection as to "trimester values" to
- 14 be vague and ambiguous.
- 15 You can go ahead.
- 16 BY MR. BARON:
- 17 Q. Now, did the plaintiff ask you if ultrasound
- 18 | scans were conducted -- let me go back one second,
- 19 because counsel objected after the witness answered.
- 20 So I'll ask the question again.
- Did the plaintiff inform you that he could not
- 22 | find any basis for the pregnancy trimester values in --
- MR. LINDEGREN: Objection.
- 24 BY MR. BARON:
- 25 | O. -- in de- --

- 1 MR. LINDEGREN: I'm sorry. Go ahead.
- I just want to make sure I got my objection in. 2
- 3 Go ahead.
- 4 MR. BARON: I'll start again.
- 5 BY MR. BARON:
- 6 Did the plaintiff inform you that he could not
- 7 find any basis for the pregnancy trimester values in the
- 8 EMA Study data?
- 9 MR. LINDEGREN: It's vague and ambiguous as to
- 10 "trimester values." That's not a value.
- 11 THE WITNESS: My answer is yes, something to
- 12 that effect. The plaintiff did not understand what a
- pregnancy trimester is and what we were labeling that 13
- versus study visit and did ask questions about that. 14
- 15 BY MR. BARON:
- 16 Did the plaintiff ask you if ultrasound scans
- 17 were conducted for all women in EMA Study?
- 18 The plaintiff did not ask that question to us. Α.
- 19 Did plaintiff ask you if medical records were 0.
- 20 collected for all women in the EMA Study?
- 21 I do not recall the plaintiff asking us that Α.
- either. 22
- 23 Dr. Wadhwa, you're -- you're answering in the 0.
- plural that -- I'm asking the questions to you 24
- 25 specifically. So I'll ask the question again.

- 1 Did the plaintiff ask you, Dr. Wadhwa --
- Α. Um-hum. 2
- -- if medical records were collected for all 3 Q.
- 4 women in EMA Study?
- Not to my recollection. He did not. 5 Α.
- Was Elizabeth Ward involved in the EMA Study? 6 0.
- 7 Α. She was involved in data analysis of the data
- 8 from the EMA Study.
- 9 Ο. Was Elizabeth Ward involved in calculating
- 10 pregnancy trimesters in EMA Study?
- 11 Α. No.
- 12 MR. LINDEGREN: Hold on.
- 13 Objection. Vague and ambiguous as to
- 14 "calculating pregnancy trimesters." Misstates the
- 15 testimony. Calls for speculation.
- 16 You can proceed.
- 17 THE WITNESS: My answer is, no, she was not.
- 18 BY MR. BARON:
- 19 Was Annamarie Stehli involved in the EMA Study? Ο.
- 20 Α. Again, yes, in working on data analysis.
- 21 Ο. Was Annamarie Stehli involved in calculating
- 22 pregnancy trimesters in EMA Study?
- 23 MR. LINDEGREN: Same objections to the last
- 24 question.
- 25 You can answer.

- 1 THE WITNESS: No, because those were not
- calculated values. So the answer is no, she was not 2
- 3 involved. How could she be involved in something that
- 4 wasn't done?
- 5 BY MR. BARON:
- 6 Were you involved in calculating pregnancy
- 7 trimesters in EMA Study?
- 8 Α. No.
- 9 Were you aware that plaintiff was asking Q.
- 10 Elizabeth Ward for ultrasounds in EMA Study?
- 11 Α. No.
- 12 MR. LINDEGREN: Hold on.
- 13 Objection. It's compound. Assumes facts not in
- 14 evidence.
- 15 You can answer.
- 16 THE WITNESS: No.
- 17 MR. LINDEGREN: It assumes that she was --
- 18 THE WITNESS: No, I was not.
- 19 BY MR. BARON:
- 20 Were you aware that plaintiff was also asking
- 21 Dr. Gillen, Elizabeth Ward and Annamarie Stehli for any
- 22 basis for the trimester values listed in EMA Study?
- 23 MR. LINDEGREN: Compound.
- 24 You can answer.
- 25 THE WITNESS: Yes, I already said he was asking

- 1 about something to the effect of what this means, where
- this comes from. Yes, I do recall that, as I've stated. 2
- 3 BY MR. BARON:
- 4 0. In response to counsel's objection, I'll
- 5 rephrase the question.
- 6 Were you aware that plaintiff was asked -- also
- 7 asking Dr. Gillen for any basis for the trimester values
- 8 listed in EMA Study data?
- 9 MR. LINDEGREN: Compound. Vague as to
- 10 "trimester values."
- 11 THE WITNESS: My answer is yes.
- 12 BY MR. BARON:
- Were you aware that plaintiff was also asking 13
- Elizabeth Ward for any basis for the trimester values 14
- 15 listed in EMA Study data?
- 16 Α. Yes.
- Were you at -- aware that plaintiff was also 17 Q.
- asking Annamarie Stehli for any basis for the trimester 18
- 19 values listed in EMA Study data?
- 20 Α. Yes.
- 21 Were you aware that Elizabeth Ward told the 0.
- 22 plaintiff that trimester values and EMA Study data were
- 23 just quesses?
- 24 I'm not aware that she ever said anything like
- 25 that.

- 1 Were you aware that Elizabeth Ward told the Ο.
- plaintiff that trimester values in EMA Study data were 2
- 3 quessed by Elizabeth Ward?
- 4 MR. LINDEGREN: Asked and answered. It's also
- 5 vague as to whatever you mean by "trimester values."
- 6 His testimony is there is no value.
- 7 THE WITNESS: My answer is no, I'm not aware of
- 8 it.
- 9 BY MR. BARON:
- 10 Were you aware that Elizabeth Ward told the
- plaintiff that trimester values in EMA Study data were 11
- 12 guessed by Annamarie Stehli?
- 13 MR. LINDEGREN: Same objection. Vague and
- 14 ambiguous as to "trimester values."
- 15 THE WITNESS: No, I'm not aware of it.
- 16 BY MR. BARON:
- 17 Q. Were you aware that Elizabeth Ward told the
- 18 plaintiff that trimester values in EMA Study data were
- 19 quessed by you?
- 20 Α. No.
- 21 MR. LINDEGREN: Also objection. Compound.
- 22 Again, vague and ambiguous as to "trimester."
- 23 BY MR. BARON:
- 24 Dr. Wadhwa, are you aware that, in the EMA Study 0.
- 25 data, the pregnancy trimesters were included?

```
1
    Α.
             I'm aware --
 2
            MR. LINDEGREN: Hold -- hold on.
 3
             It's vague and ambiguous and incomplete. It's
 4
    also compound.
 5
             You -- you can answer, to the extent you
 6
    understand the question.
7
             THE WITNESS: Could you please clarify what you
    mean by, again, "pregnancy trimesters" and what you mean
8
9
    by "using of the study."
10
            MR. BARON: Mr. Court Reporter, could you repeat
11
    the question, please?
12
             THE COURT REPORTER: Sure.
13
             MR. BARON: The question that I asked.
14
             THE COURT REPORTER: Okay.
15
            MR. BARON: Thank you. Thank you.
16
             (Whereupon, the record was read back by the
17
             Court Reporter as follows:
18
                 "Dr. Wadhwa, are you aware that, in the
             Ο.
19
             EMA Study data, the pregnancy trimesters
20
            were included?")
21
            MR. LINDEGREN: It's compound. Vague as to
     "trimesters."
22
23
             THE WITNESS: My -- my answer is no, they were
    not included.
24
25
    ///
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- 1 BY MR. BARON:
- Is it your testimony here today, Dr. Wadhwa, 2
- 3 that the EMA Study data did not include "Trimesters" as
- a column in the data? 4
- 5 A. No, that's not my testimony.
- 6 THE COURT REPORTER: "That's not my testimony"?
- 7 THE WITNESS: Correct. That's the answer I
- 8 give.
- 9 No, that is not my testimony.
- BY MR. BARON: 10
- Okay. Your testimony seems to be conflicting 11 0.
- 12 because, earlier, you stated that EMA data did not
- include trimesters; is that correct? 13
- 14 A. Correct.
- 15 But then, I asked you that, if you were aware
- 16 that the EMA data included "Trimesters" as a column, and
- 17 your -- and your answer was that you didn't know that?
- 18 A. No, no. I said yes.
- MR. LINDEGREN: It's compound, and it misstates 19
- 20 the record.
- 21 THE WITNESS: My answer to his question was,
- 22 yes, when he asked, was I aware that our data includes a
- 23 column for "Trimesters" for pregnancy trimesters.
- BY MR. BARON: 24
- 25 Ο. Okay. Just -- just to be clear.

- 1 So you were aware that the EMA data included a column called "Trimesters"? 2
- 3 Α. Yes.
- 4 Ο. Okay. Were you aware that EMA Study data contained guessed pregnancy trimester values? 5
- 6 MR. LINDEGREN: Hold on.
- 7 It's compound, the way you're phrasing it. It's 8 assuming that what you're saying is true. In other 9 words, were you aware that it happened, is compound.
- 10 MR. BARON: Counsel, please just raise your 11 objection. That's all you need to do.
- 12 MR. LINDEGREN: What I'm doing, Mr. Baron --13 I've been very patient with you.
- 14 It's a compound question. It's assuming a fact 15 it's a pregnant admission, not the pun on the word 16 "pregnant." If you would ask a proper question, you 17 wouldn't get an objection.
- 18 MR. BARON: We can go ahead and -- and file a 19 motion to compel if you continue this, I'm warning you, 20 if you continue your behavior, Counsel.
- 21 MR. LINDEGREN: Mr. Baron --
- 22 MR. BARON: I'm done with you. Let me go ahead 23 to the witness.
- MR. LINDEGREN: Stop the threatening. You've 24 25 threatened the judge. You've threatened everybody in

- 1 this case. Stop it and ask your questions.
- I've made a legitimate objection. I was trying 2
- 3 to help you. I know you're representing yourself.
- 4 When you ask a question, are you aware that the
- 5 sky was falling, that is a compound question. It's not
- 6 a fair question.
- 7 It's the same as asking, when did you stop
- beating your wife. Please proceed, sir. 8
- 9 MR. BARON: Once again, do not interrupt.
- 10 BY MR. BARON:
- 11 Q. Dr. Wadhwa, I'll ask the question.
- 12 You've testified this morning -- or this
- 13 afternoon that the EMA Study contained a column called
- 14 "Trimesters"; is that correct?
- 15 A. Correct.
- 16 Were you aware that that column called
- "Trimesters" contained values in that column? 17
- 18 Α. Yes.
- 19 Were you aware that those values were G1, G2, Ο.
- 20 G3 -- and by "G," I mean "G" as in gold -- so G1, G2,
- 21 Were you aware? G3?
- Only in some data files, not in others. So the 22 Α.
- 23 same problem pregnancy trimesters. It wasn't pregnancy
- 24 trimesters. But the following was called trimester. In
- 25 some data files, it was called that. And, in some, I

- 1 recall there was a G1, a G2, G3, yes.
- Q. Do you know what the "G" stood for in the 2
- 3 trimester values?
- I recall -- no, I -- my answer is I do not know, 4
- but I recall that question being asked. And I recall 5
- 6 that question being answered as group -- Group 1,
- 7 Group 2, Group 3, so that that -- the info would not get
- 8 mixed up from the file from which it was pulled.
- 9 0. So at no time were you aware that the letter G
- 10 stood for "guessing"?
- 11 Α. No.
- 12 MR. LINDEGREN: Also, again, it's compound.
- 13 BY MR. BARON:
- 14 Q. Were you aware that EMA Study data contained
- 15 fabricated pregnancy trimester values?
- 16 MR. LINDEGREN: Objection. It's compound.
- 17 There's no evidence that there was anything fabricated.
- 18 THE WITNESS: Could you re-ask your question,
- 19 please.
- 20 MR. LINDEGREN: In other words, he's assuming
- 21 that what he's saying is --
- 22 THE WITNESS: I understand.
- MR. LINDEGREN: Right. It's an improper 23
- 24 question.
- 25 MR. BARON: Once again, I'm going ask the

- 1 defense counsel not to coach the witness.
- MR. LINDEGREN: I'm helping the witness because 2
- 3 you refuse to ask a proper question. If you ask
- somebody, when did you stop beating your wife, it's a 4
- 5 compound question.
- 6 By answering it and saying, "I don't know," they
- 7 just admitted that they beat their wife. That's unfair,
- 8 and you know it. Please ask a proper question,
- 9 Mr. Baron.
- 10 MR. BARON: Once again, Defense Counsel, you
- 11 continue to interrupt. Do not interrupt this
- 12 deposition. Do not interrupt, once again.
- 13 MR. LINDEGREN: Ask your questions. Try to ask
- 14 a proper question. You need to lay a foundation. You
- 15 can't assume what you've already assumed.
- 16 Otherwise, I'm going continue to object as
- 17 compound, unless you want to give me a continuing
- 18 objection, and then I don't have to raise it each time,
- 19 which I would prefer.
- 20 MR. BARON: Maybe you should learn how to object
- 21 properly, but I don't want to get into that with you.
- 22 Mr. Court Reporter, could you repeat the last
- 23 question that the witness requested, please.
- 24 THE COURT REPORTER: Give me one moment.
- 25 MR. BARON: Yeah. I apologize. I'm trying to

- 1 conduct this as professionally as I can.
- (Whereupon, the record was read back by the 2
- 3 Court Reporter as follows:
- 4 "Were you aware that EMA Study data Ο.
- 5 contained fabricated pregnancy trimester
- 6 values?")
- 7 MR. LINDEGREN: Compound.
- THE WITNESS: The EMA data did not contain 8
- 9 fabricated EMA pregnancy values or fabricated anything,
- 10 for that matter.
- BY MR. BARON: 11
- 12 Were you aware that plaintiff had concerns that Q.
- no ultrasound scans existed in the EMA Study data? 13
- 14 MR. LINDEGREN: Compound.
- 15 THE WITNESS: No, I'm not aware that he had such
- 16 concern.
- BY MR. BARON: 17
- 18 Did you know that plaintiff had reported these Ο.
- 19 concerns at University of California, Irvine?
- 20 MR. LINDEGREN: Compound. Vaque and ambiguous
- as to "these concerns." 21
- 22 THE WITNESS: I knew he had reported these well
- 23 after the time when he was associated with the study. I
- did not know that he had reported this during the time 24
- 25 he was associated with the study.

- 1 BY MR. BARON:
- Q. What is your understanding of the word
- 3 | "whistleblower"?
- 4 A. My understanding of the word "whistleblower" is
- 5 somebody who reports fraud within some entity that she
- 6 or he is a part of.
- 7 Q. During plaintiff's employment at UC Irvine, did
- 8 | you know the meaning of the word "whistleblower"?
- 9 A. Yes, in -- insofar as I defined it just now,
- 10 yes.
- 11 Q. Were you aware that plaintiff had become a
- 12 | whistleblower during his employment at UC Irvine?
- MR. LINDEGREN: It's compound.
- 14 THE WITNESS: No.
- MR. LINDEGREN: Vaque and ambiguous as to time.
- 16 THE WITNESS: No, I did not know that during
- 17 his -- I did not know that he had become one or tried to
- 18 become one during the time he was with us with the
- 19 study.
- 20 BY MR. BARON:
- 21 O. Were you aware that plaintiff had become a
- 22 whistleblower by reporting his concerns regarding
- 23 trimester calculations in EMA Study?
- MR. LINDEGREN: Compound.
- 25 THE WITNESS: I would like clarification when

- 1 you ask me, were you aware, when was I aware. Can you
- clarify when you're asking me for, as to when I may or 2
- 3 may not have been aware.
- 4 BY MR. BARON:
- 5 Ο. I'll rephrase the question.
- 6 During plaintiff's employment at UC Irvine, were
- 7 you aware that plaintiff had become a whistleblower?
- 8 Α. No, I did not, not during his employment at UC
- 9 Irvine.
- 10 During plaintiff's employment at UC Irvine, were
- you aware that plaintiff had become a whistleblower by 11
- 12 reporting his concerns regarding trimester calculations
- 13 and EMA Study?
- 14 MR. LINDEGREN: Compound.
- 15 But you can answer.
- 16 THE WITNESS: I just did. I said no, I was not
- 17 aware of him becoming a whistleblower by whatever he
- 18 said during his employment with us.
- 19 BY MR. BARON:
- 20 Were you aware that plaintiff had reported his
- 21 concerns about the EMA Study to University of California
- Irvine's Research Integrity Officer [sic]? 22
- 23 MR. LINDEGREN: Vague as to time. And compound.
- 24 THE WITNESS: When you ask -- could -- could you
- 25 clarify -- could you clarify when you ask me, was I

- 1 aware -- a long period of time has passed since then.
- So could you clarify as to when you're asking me 2
- 3 I was aware. And then, I can answer you. It's a
- 4 straightforward answer.
- 5 BY MR. BARON:
- 6 Dr. Wadhwa, do you recall, in the beginning of
- 7 this deposition, I had asked you that the questions I
- 8 will ask in this deposition pertain to the period of
- 9 plaintiff's employment with UC Irvine from February 2017
- 10 to June 2017, and I asked you if you understood, and
- 11 your answer was that you did; is that correct?
- 12 Α. Correct.
- So the questions I'm asking you, they pertain to 13 Q.
- plaintiff's employment at UC Irvine from February 2017 14
- 15 to June 2017.
- 16 Do you understand?
- 17 Α. Yes.
- 18 Okay. So I will ask the question again. Ο.
- 19 Were you aware that plaintiff had reported his
- concerns to University of California, Irvine's Research 20
- 21 Integrity Office during his employment at UC Irvine?
- 22 Α. No.
- 23 Did anyone from University of California, Ο.
- Irvine's Research Integrity Officer -- Office ever 24
- 25 communicate with you regarding plaintiff's reporting

- 1 during his employment at UC Irvine?
- MR. LINDEGREN: Same timeframe. Compound. 2
- THE WITNESS: The answer is two parts. One, I 3
- 4 don't recall anybody from UC Irvine talking to me about
- 5 plaintiff's complaints.
- 6 I do recall some investigator from the Office of
- 7 the UC President talking to me, so the answer to that is
- 8 yes.
- 9 And, furthermore, the answer is that I recall
- 10 him talking with me a long period of time after the
- 11 plaintiff was no longer working at UC Irvine, at least
- 12 several months, perhaps even a year afterwards.
- 13 That's when I recall him talking to me about
- 14 this.
- 15 BY MR. BARON:
- 16 So in an effort to just clarify, see if I can
- clarify this, is it -- your testimony here today is that 17
- 18 you don't recall anybody from UC Irvine's Research
- 19 Integrity Officer [sic] ever communicating with you
- 20 during plaintiff's employment?
- 21 Correct, yes. Α.
- 22 And also, it is your testimony that you do Q.
- 23 recall someone from University of California President's
- 24 Office communicating with you.
- 25 Was that during plaintiff's employment or after?

- 1 A. After. Much after.
- Q. Were you aware that plaintiff had reported his
- 3 | concerns to University of California, Irvine's Human
- 4 Resources Department during his employment --
- MR. LINDEGREN: Vague and ambiguous.
- 6 BY MR. BARON:
- 7 | Q. -- in Irvine?
- MR. LINDEGREN: Vague and ambiguous as to
- 9 concerns."
- 10 THE WITNESS: I -- I don't know what the
- 11 plaintiff had reported. I know, at the last meeting
- 12 that I recall with the plaintiff, there was somebody
- 13 from -- from that office there.
- So I, obviously, assumed that, since I'm not the
- one who'd talked to them, that it must have been the
- 16 plaintiff. But I don't know what he said or did not say
- 17 or report or did not report to them.
- 18 BY MR. BARON:
- 19 0. Okay. Let me just ask the question again.
- 20 Were you aware that plaintiff had reported his
- 21 concerns regarding the EMI study to the UC Irvine Human
- 22 Resources Department during his employment?
- 23 A. No, not aware.
- 24 | O. Did anyone from UC Irvine Human Resources ever
- 25 communicate with you regarding plaintiff's reporting

1 regarding -- in connection with EMA Study? MR. LINDEGREN: It's compound. 2 3 And are you still using the same timeframe, 4 during your employment? 5 MR. BARON: It is still using the timeframe, as 6 I declared earlier. If there was any different, I will 7 advise the witness. So this is still during the 8 plaintiff's employment. 9 THE WITNESS: Can you please repeat the 10 question. 11 MR. BARON: Mr. Court Reporter, could you please 12 repeat my last question to the witness. 13 (Whereupon, the record was read back by the 14 Court Reporter as follows: 15 "Did anyone from UC Irvine Human Ο. 16 Resources ever communicate with you 17 regarding plaintiff's reporting 18 regarding -- in connection with EMA 19 Study?") 20 THE WITNESS: No, not -- not regarding any 21 concerns or connection with the EMA Study, no. My 22 answer is no. 23 BY MR. BARON: 24 Were you aware that plaintiff had reported his 0. 25 whistleblowing regarding EMA Study to UC Irvine's Equal

- 1 | Employment Office?
- 2 MR. LINDEGREN: Compound.
- You can answer.
- THE WITNESS: I was not aware, during the period
- 5 when the plaintiff was working on -- with us on the
- 6 study.
- 7 BY MR. BARON:
- 8 | Q. Did you become aware that plaintiff had reported
- 9 his whistleblowing regarding EMA Study to UC Irvine's
- 10 | Equal Employment Opportunity Office after the
- 11 | plaintiff's employment at UC Irvine?
- 12 A. Yes, I did.
- 13 Q. Were you aware that plaintiff had reported his
- 14 | whistleblowing regarding EMA Study to the federal
- 15 government during his employment at UC Irvine?
- 16 A. No, I was not.
- 17 Q. Is it true that you were responsible for
- 18 | plaintiff's termination from UC Irvine?
- 19 A. No, it is not true.
- 20 Q. Is it true that you had a major role in
- 21 | plaintiff's termination from UC Irvine?
- 22 A. I do not know what role, if any, I had.
- 23 Q. Is it true that plaintiff was terminated in
- 24 retaliation to his whistleblowing regarding EMA Study?
- 25 A. I -- as I just said, I don't know the facts of

- 1 his termination. I do not believe -- I do not believe
- that to be the case. 2
- 3 Were you aware that plaintiff has -- had filed a
- 4 whistleblower complaint after his termination from UC
- Irvine? 5
- 6 Yes, I became aware of that after his
- 7 termination.
- 8 Ο. Did any investigator ever communicate with you
- 9 regarding plaintiff's whistleblower complaint filed
- 10 after his termination?
- 11 I don't know if it was specifically about Α.
- 12 whistleblower or which claim because, my understanding,
- 13 after he was terminated -- well after -- is that the
- 14 plaintiff filed -- I don't know -- half a dozen, dozen
- 15 of claims on different things, including whistleblowing.
- 16 As I mentioned previously in my testimony, I was
- 17 contacted by an investigator from the Office of the UC
- 18 President about his -- the duration of his time with
- 19 us -- with my study and about certain things during
- 20 that.
- 21 I do not recall whether it was specifically
- about whistleblowing testimony or about, you know, what 22
- 23 of his other numerous claims.
- Were you aware that whistleblower retaliation 24 Ο.
- 25 against a University of California employee is a crime

- 1 while plaintiff was employed at UC Irvine?
- MR. LINDEGREN: It's vague and ambiguous. It's 2
- 3 also compound.
- 4 THE WITNESS: I -- I am generally aware of --
- 5 of -- of something to that effect, yes.
- 6 BY MR. BARON:
- 7 Okay. I'll repeat the question because I'm Q.
- 8 asking about the period when the plaintiff was employed
- 9 at UC Irvine, so I'll repeat the question.
- 10 Were you aware that whistleblower retaliation
- against a University of California employee is a crime 11
- 12 during plaintiff's employment at UC Irvine?
- 13 MR. LINDEGREN: Vaque and ambiguous.
- 14 You can answer, if you understand what he's
- 15 asking.
- THE WITNESS: I can guess -- but, anyway, yes, 16
- 17 I'm -- as I said, I'm generally aware of something to
- 18 that effect in the law, yes.
- 19 BY MR. BARON:
- 20 Ο. Okay. I'll ask one more time.
- 21 Dr. Wadhwa, when you were giving your answer
- 22 saying, "I am generally aware," it sounds to me that
- 23 you're referring to the present tense, "I am."
- 24 But my question is in the past.
- 25 So in -- in the period when plaintiff was

- 1 employed at UC Irvine from January 2017 to June 2017,
- were you aware in that period of time that whistleblower 2
- 3 retaliation against a University of California employee
- 4 was a crime?
- 5 Α. Yes. I would have been aware, yes.
- 6 Ο. Were you aware that plaintiff had filed a police
- 7 report with University of California, Irvine Police
- 8 Department regarding his termination from UC Irvine?
- 9 MR. LINDEGREN: Vague as to time.
- 10 THE WITNESS: Not -- I was not aware, during the
- 11 period of his employment. I became subsequently aware
- 12 of that.
- 13 BY MR. BARON:
- 14 Did any investigator ever communicate with you 0.
- 15 regarding plaintiff's police report filed with UC Irvine
- 16 Police Department regarding his termination from UC
- 17 Irvine?
- 18 Can you clarify as to when they communicated
- 19 with me. Was it -- are you asking about during the time
- 20 when the plaintiff was employed, or are you asking about
- 21 did someone communicate with me subsequent to that
- 22 period of time?
- 23 Ο. Sure. I -- I will rephrase the question.
- 24 If you notice, the question states "ever."
- 25 now, this is not limited to just plaintiff's employment.

- 1 I'll rephrase the question.
- 2 Did any investigator ever communicate with you
- 3 regarding plaintiff's police report filed with UC Irvine
- 4 Police Department in regards to his termination from UC
- 5 Irvine?
- 6 A. Yes.
- 7 | Q. Did anyone from Orange County District
- 8 Attorney's Office ever communicate with you regarding
- 9 plaintiff's police report filed with UC Irvine Police
- 10 Department regarding his termination?
- 11 A. No, I -- I don't recall anyone doing so.
- 12 Q. Did you ever, personally, financially benefit
- 13 | from the EMA Study grant?
- 14 A. No.
- 15 Q. What was Karen Lindsey's role during plaintiff's
- 16 employment at UCI within the EMA Study?
- 17 A. She was a post doctorate scholar, and she was
- 18 the coordinator of all our research activity at that
- 19 time.
- 20 Q. Did Karen Lindsey report to you?
- 21 A. Yes. At that time, yes.
- 22 Q. During the time of plaintiff's employment at UC
- 23 | Irvine, did you ever ask Karen Lindsey to retaliate
- 24 against the plaintiff for his whistleblowing in regards
- 25 to EMA Study?

- 1 The answer is absolutely no. Furthermore, I did Α.
- not know, during that time, that he is even making any 2
- 3 whistleblower or other claims.
- 4 Did you ever ask Karen Lindsey to revoke Ο.
- plaintiff's access to EMA data while he was employed at 5
- 6 UC Irvine?
- 7 Α. Yes.
- 8 Ο. When did you ask her to do that?
- 9 Α. It was after I decided that the plaintiff is no
- longer to work on our study -- on the EMA Study and on 10
- 11 our data.
- 12 And what drove you to make that decision? Q.
- 13 Α. The -- the incompetence of the plaintiff in his
- job performance. 14
- 15 When you say "plaintiff's incompetence," what do Ο.
- you mean by "plaintiff's incompetence"? 16
- 17 Α. I mean, several examples of incompetence. The
- most immediate one at that time were his failure to even 18
- 19 understand the task he was assigned, leave alone,
- 20 fulfill it of combining data files.
- 21 I also mean his incompetence and even
- understanding what the difference is between a label or 22
- 23 variable and what a calculated trimester value is.
- 24 Those are the examples of incompetence that come
- 25 to mind right now.

- 1 Do you have any evidence to support your claimed Ο.
- allegations about plaintiff's incompetence? 2
- 3 MR. LINDEGREN: Objection. It's argumentative.
- 4 It's a contention interrogatory, an improper question of
- 5 the Rifkind kind.
- 6 I'll instruct him not to answer a contention
- 7 interrogatory as to evidence.
- 8 (Instruction Not to Answer.)
- 9 MR. LINDEGREN: You're not a lawyer. If you
- want to ask him the basis, that's fine. But, otherwise, 10
- 11 I recommend you to read Rifkind, and you cannot ask a
- 12 contention interrogatory in a deposition.
- 13 MR. BARON: Counsel, just a simple objection
- 14 would have been fine.
- 15 I'll rephrase the question.
- 16 BY MR. BARON:
- 17 Q. Were you aware, during the time of plaintiff's
- employment at UC Irvine, of any specific supporting 18
- 19 documentation to any incompetence by the plaintiff?
- Can you clarify what you mean by the term 20 Α.
- "documentation." 21
- 22 Do you understand what the word, "documentation" Q.
- 23 means?
- 24 Α. Generally, yes.
- 25 Okay. What is -- what does it mean? Q.

- 1 It means a document of something. Α.
- That's sufficient. That's what I'm asking. 2 Q.
- 3 MR. LINDEGREN: Can you restate the question,
- 4 please. I don't have it in mind.
- 5 MR. BARON: Mr. Court Reporter, would you be so
- 6 kind to repeat my last question, please.
- 7 THE COURT REPORTER: One moment.
- 8 (Whereupon, the record was read back by the
- 9 Court Reporter as follows:
- 10 "Were you aware, during the time of
- 11 plaintiff's employment at UC Irvine, of any
- 12 specific supporting documentation to any
- 13 incompetence by the plaintiff?")
- 14 MR. LINDEGREN: Vaque as to "documentation."
- 15 You can answer.
- 16 THE WITNESS: So what I will answer, without
- 17 qualifying the term "documentation," that I'm certainly
- 18 aware of e-mails between the plaintiff, me, other
- 19 members of the research group where he was asked to
- 20 perform certain tasks and his -- that not being
- 21 performed for several tasks. And I am aware of that.
- BY MR. BARON: 22
- 23 But as we sit hire today, are you able to give 0.
- maybe one example of such -- such a task that, according 24
- 25 to you, the plaintiff was not able to complete?

- 1 I just gave you three a few minutes back, not Α.
- 2 one.
- 3 So there were two specific tasks at two
- different times when he was asked to combine various 4
- data elements from different files, and he was unable 5
- 6 to, as I mentioned, perform the task.
- 7 He was unable, as I mentioned, to even
- 8 understand what was being asked of him, let alone
- 9 perform the task. That, in my view, was the level of
- 10 incompetence of the plaintiff.
- 11 I gave you a third example, which is, he seemed
- 12 unable to even understand the difference between a label
- 13 or a variable that he had to be explained numerous times
- 14 and the calculations are not of that variable.
- 15 So those are, at least, three examples that I'm
- 16 repeating for the second time now.
- 17 During plaintiff's employment at UC Irvine, did Q.
- you ever demean the plaintiff? 18
- 19 Α. No.
- 20 Ο. During plaintiff's employment at UC Irvine, did
- 21 you ever berate the plaintiff?
- 22 Α. No.
- 23 During plaintiff's employment at UC Irvine, did 0.
- you ever scream at the plaintiff? 24
- 25 Α. No.

- 1 During plaintiff's employment at UC Irvine, did Ο.
- you ever physically threaten the plaintiff? 2
- 3 Α. Gosh, no.
- 4 Ο. During the plaintiff's employment at UC Irvine,
- did you ever lose your temper at the plaintiff? 5
- 6 At the last meeting, I remember being terribly
- 7 frustrated and exasperated with the incompetence of the
- 8 plaintiff.
- 9 And I remember stating that loudly to that
- 10 effect. Other than that instance, I do not recall any
- 11 other time.
- 12 Did Dr. -- let me ask you: Are you familiar Q.
- with who Dr. Babak Shahbaba is? 13
- 14 Α. Yes, I am.
- 15 Who's Dr. Babak Shahbaba? Ο.
- 16 He's a professor in the Department of
- 17 Statistics.
- 18 During the plaintiff's employment at UC Irvine, Ο.
- 19 did Dr. Babak Shahbaba ever have to physically restrain
- 20 you in order to calm you down?
- 21 Absolutely not. Α.
- 22 During the plaintiff's employment at UC Irvine, Ο.
- 23 did you ever scream at the plaintiff to the level where
- 24 Dr. Babak Shahbaba had to tell you to be quiet?
- 25 Α. No.

- 1 What was the reason, that you know of, for Ο.
- plaintiff's termination from University of California, 2
- 3 Irvine?
- MR. LINDEGREN: Lacks foundation. Calls for 4
- 5 speculation.
- 6 THE WITNESS: I do not know the reason.
- 7 BY MR. BARON:
- 8 Ο. Did the plaintiff ever fail to do any project
- 9 assigned to him by you?
- 10 Α. Yes. Several.
- 11 During the -- the time -- during the time that 0.
- 12 the plaintiff was employed at UC Irvine, did you have
- 13 any issues with plaintiff's competence before he
- 14 reported his concerns regarding EMA Study?
- 15 Okay. It's compound. Assumes MR. LINDEGREN:
- 16 that you actually recorded anything or that he even knew
- 17 about it.
- 18 If you're asking him, when did he first have
- 19 any -- experience incompetence, he can answer.
- Otherwise, it calls for speculation. It's 20
- 21 compound.
- 22 THE WITNESS: Yes. Could you please repeat the
- 23 question.
- 24 MR. BARON: Mr. Court Reporter, would you,
- 25 please.

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1
             (Whereupon, the record was read back by the
             Court Reporter as follows:
 2
 3
                 "During the -- the time -- during the
 4
             time that the plaintiff was employed at UC
 5
             Irvine, did you have any issues with
             plaintiff's competence before he reported
 6
 7
             his concerns regarding EMA Study?")
 8
             MR. LINDEGREN: Same objection.
9
             THE WITNESS: Yeah. I'm -- as I've stated, I
10
    had concerns -- many concerns about his competence. As
11
    I've also stated, I did not know that he had done any
12
    kind of reporting while he was working on the study.
13
    BY MR. BARON:
14
             Dr. Wadhwa, have you, at any time during -- or
    Ο.
15
    did you, at any time during plaintiff's employment at UC
16
    Irvine, make any excuses regarding plaintiff's
    performance that you knew were false?
17
18
    Α.
             No.
19
             Since plaintiff's termination to the present
20
    time, have you ever made any claims regarding
    plaintiff's competency that you knew were false?
21
22
    Α.
             No.
23
             Were your claims of plaintiff's alleged
    Ο.
    incompetency made during when he was employed at UC
24
25
    Irvine?
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- 1 A. Yes.
- 2 MR. LINDEGREN: Hold on.
- You can answer, but it's argumentative, as
- 4 phrased.
- 5 THE WITNESS: My answer is yes.
- 6 BY MR. BARON:
- 7 Q. You testified earlier that you revoked or you
- 8 | asked Karen Lindsey to revoke plaintiff's access to the
- 9 | EMA Study because plaintiff was -- as you said, was
- 10 | competent; is that right?
- 11 | A. Yes.
- 12 Q. Why is it, then, that you left plaintiff's
- 13 access to everything else, other than the EMA Study,
- 14 | that he had complained about?
- 15 A. I did not do that. My instruction to my staff
- 16 | was to revoke his access to all of our data. So my --
- 17 | my instructions were for all of the data that relates to
- 18 any of our study.
- 19 Q. Is it your testimony that Karen Lindsey just did
- 20 | not follow your instructions?
- MR. LINDEGREN: Objection. Lacks foundation as
- 22 to what was revoked. Calls for speculation as to what
- 23 | Karen Lindsey did or didn't do.
- 24 THE WITNESS: I have no idea what Karen did or
- 25 | didn't do of -- of -- I can testify to you that -- that

- 1 I wanted the plaintiff's access to any of our study data
- revoked with immediate effects. 2
- 3 BY MR. BARON:
- 4 Dr. Wadhwa, during plaintiff's employment at UC Ο.
- 5 Irvine, were you aware of the plaintiff being videotaped
- 6 and recorded?
- 7 Α. No, I'm not.
- 8 MR. LINDEGREN: By the way, it's compound.
- 9 Mr. Baron, by the way, you have a videotape of
- 10 yourself. You need to produce that. I believe we have
- 11 asked for that in the discovery. I'm not aware of any.
- 12 MR. BARON: Irrelevant commentary. We will
- 13 disregard that.
- 14 At this time, Mr. Wadhwa -- Dr. Wadhwa, these
- 15 are all the questions that I have for you for today.
- 16 Your deposition will be continued at a later date.
- 17 MR. LINDEGREN: No, we won't. You're done. You
- get one shot at him. If you have more questions, we're 18
- 19 here. You noticed until at least 3:00. It's 1:44. If
- 20 you're adjourning, Mr. Baron, our position is the
- deposition is concluded. 21
- 22 And we will not voluntarily be reproducing
- 23 Dr. Wadhwa or letting you, otherwise, inconvenience him.
- If you want to meet and confer about it, that's fine. 24
- 25 But this is the single deposition rule. You now are

- 1 finished at 1:44 -- 1:45.
- I'm going to take a guick break. I have 2
- 3 something I want to ask Dr. Wadhwa, and we'll be right
- 4 back.
- 5 MR. BARON: Stop.
- 6 Are you done?
- 7 MR. LINDEGREN: Well, I'd like to take a break.
- 8 MR. BARON: No. I say when there is a break, so
- 9 here's --
- 10 MR. LINDEGREN: That's not exactly true.
- 11 MR. BARON: -- here's the thing. Here's the
- 12 thing.
- 13 You are well aware -- if not, go look up the
- 14 rule -- that I'm entitled to seven hours of this witness
- 15 deposition. I'm giving you three hours.
- 16 Even though I haven't used three hours, I'm
- giving it to you. I still have four hours. It will be 17
- 18 continued. Go ahead, take your break. We're off the
- 19 record.
- 20 MR. LINDEGREN: Mr. Baron, we're not off the
- 21 record yet. We have to agree to that.
- 22 What you just stated is inaccurate. We're here
- 23 for the deposition to be completed. We can argue about
- it later, but you're entitled to take a deposition. 24
- 25 You're done with questions. We're not agreeing to

- Baron vs. The Regents of the University of California Remote Deposition of Pathik Wadhwa, M.D. 1 continue it to another day. You've got a discovery cutoff coming, and you're 2 set to take the deposition. So we're not agreeing to 3 4 leave it open. As far as we're concerned, the 5 deposition is concluded. 6 But let me take the break, if you're okay with 7 that right now. 8 MR. BARON: That's fine. We need to discuss the 9 upcoming deposition. If you want to wait until 3:00 10 o'clock -- or what's the status of that witness? 11 MR. LINDEGREN: My understanding is she will not 12 be available until 3:00 'cause she has some things she 13 had to attend to. 14 But I can talk to Chris about that and let you 15 know. Give me -- give me two minutes, and I'll be right 16 back. 17 MR. BARON: All right. 18 We can stay on the record, Mr. Court Reporter. 19 MR. LINDEGREN: All right. I guess we're still 20 on the record. 21 Yes, Mr. Anderson? 22 THE COURT REPORTER: Right.
  - 23 MR. LINDEGREN: Okay. Yeah, I just have one question for Dr. Wadhwa, and it relates to this whole 24 25 issues of scans and scan visits.

1 EXAMINATION 2 BY MR. LINDEGREN: 3 Dr. Wadhwa, with respect to the scan visits and 4 the files that would have been generated, do you know 5 how many files there are that relate to the scans? 6 Α. Yes, approximately. 7 How -- how many approximately exist? Q. The ballpark of 45, 50,000 files. 8 Α. 9 MR. LINDEGREN: Nothing further. 10 MR. BARON: You know, no. Actually, there is something further because that's very convenient. 11 12 13 FURTHER EXAMINATION 14 BY MR. BARON: 15 Mr. -- Dr. Wadhwa, were you just coached by your 16 lawyer during the break? 17 No, absolutely not. Α. Um-hum, right. 18 Ο. 19 Amazing, because the number you gave is exactly 20 the same number that your lawyer is claiming, so, um --21 MR. LINDEGREN: It might be, Mr. Baron, that 22 that's actually the number, as opposed to some great 23 conspiracy. 24 But if you have a question, as a deposition 25 works, you concluded your questioning. I asked a

- Baron vs. The Regents of the University of California Remote Deposition of Pathik Wadhwa, M.D. 1 question in the scope of what you did. You don't -hold on, Mr. Baron. 2 3 Your redirect is limited to my -- or your 4 recross is limited to my redirect. So if you have a 5 question about what I just asked, we're fine. Otherwise, you've adjourned the deposition and 6 concluded it. And we're done. 7 8 MR. BARON: No, we were still on the record. 9 10 been, and that is the reason I am concluding this 11 deposition early because of your behavior.
  - But you can continue making your statements, as you have
  - 12 MR. LINDEGREN: Mr. Baron, that's a lie.
  - 13 MR. BARON: As the record --
  - 14 MR. LINDEGREN: Mr. Baron, that's a lie. You 15 just said you have no more questions. I'm not going to 16 put up with this from you anymore, Mr. Baron.
  - 17 You've made misrepresentations to the court. 18 You've challenged the integrity of the court. You 19 indicated you have no more questions.
  - 20 If you have other questions, Mr. Baron, you have 21 an hour and ten minutes. Let's go. But, at this point, 22 they should be within the scope of my redirect.
  - 23 MR. BARON: One more time -- and try to understand it at this time because I'm not going to 24 25 repeat it to you -- I know you want to -- anyway, I

- 1 don't need to waste my time with you here.
- I'm here to take a deposition. You have 2
- 3 obstructed the deposition. I have warned you
- 4 repeatedly, as the record reflects, to please do not
- 5 obstruct the deposition.
- You continue to do that. Then, during break, 6
- 7 you -- you coached the witness on what to say about the
- 8 testimony he gave.
- 9 So that's -- we're going to -- we're going to
- 10 leave it at that. You needed to tell me something about
- 11 the deposition of Annamarie Stehli at 3:00 o'clock.
- 12 MR. LINDEGREN: I can talk to you about
- 13 Annamarie Stehli, if we're done with this. Otherwise,
- 14 ask your questions.
- 15 MR. BARON: Well, this is concluded, as I stated
- 16 earlier.
- 17 MR. LINDEGREN: Mr. Court Reporter, I quess
- 18 we're done. Thank you.
- 19 MR. BARON: It is continued.
- 20 Mr. Lindegren, stop your obstructing; okay?
- 21 Mr. Court Reporter --
- 22 MR. LINDEGREN: No one's obstructing anything,
- Mr. Baron. We have made this witness available to you. 23
- You've chosen to end the deposition. That's your 24
- 25 prerogative.

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- 1 And if you have some reason to bring him back, we'll talk to you. But I am telling you now, you have 2 3 an hour and ten minutes left of what you scheduled. And 4 you, apparently, have no further questions.
  - That being the case, our position is the deposition is concluded. And the single deposition rule will apply. We can argue about that later. We don't need to burn up your money with the court reporter's transcript, unless you really want to.
- 10 My point to you is, if we're done, I would like 11 to get my witness on his way. He's got things to do. 12 And then we can talk about Ms. Stehli when we're done 13 with this deposition.
- 14 MR. BARON: I had stated that I don't know how many minutes ago. You're the one that decided to coach 15 16 the witness, bring him back.
- Anyway, what is your position regarding 17 18 Ms. Stehli? This will be on record.
- 19 MR. LINDEGREN: That's fine. We can put it on 20 record.
- 21 Are we done with the deposition?
- 22 Mr. Court Reporter, I think we're done.
- 23 MR. BARON: The deposition will be continued at
- 24 a later date.
- 25 MR. LINDEGREN: Well, no, it won't.

1 MR. BARON: Today --2 MR. LINDEGREN: We're already arguing about 3 that, Mr. Baron. Do you have any more questions? Mr. Baron, do 4 5 you have questions today? "Yes" or "no." 6 MR. BARON: I have told the witness --7 MR. LINDEGREN: Do you have questions for this witness today? "Yes" or "no." 8 9 MR. BARON: Mr. Baron, you can be quiet, or I -or you can leave the deposition. 10 MR. LINDEGREN: Do you have questions for this 11 12 witness at this point, or are we concluded? "Yes" or "no." 13 MR. BARON: As I have told you repeatedly and I 14 15 have told the witness, these were all the questions I 16 had for today. 17 During -- due to your lawyer's behavior, I am concluding the deposition today. It will be continued 18 19 at another date. 20 We can meet -- you can meet and greet about it. 21 That's fine. 22 MR. LINDEGREN: That's a nice try, Mr. Baron. 23 The witness --24 MR. BARON: You can go ahead and say whatever --25 MR. LINDEGREN: -- will not -- all of your false

1 statements that you have repeatedly made to the court, including attempting to impune the integrity of the 2 3 judicial officer, in this case, I really suggest that 4 you think about that. 5 We're off the record at this point -- or at 6 least the deposition is concluded because Mr. Baron has 7 no more questions. 8 I'm gonna let the witness go --9 MR. BARON: Mr. Lindegren. Mr. Lindegren. 10 MR. LINDEGREN: -- and if you want to talk 11 about --MR. BARON: Mr. Lindegren, we're still on the 12 13 record because I -- because -- part of the rules -- I am 14 the party that's conducting the deposition. 15 And let the record reflect that Mr. Lindegren, 16 after repeated obstructions, despite my repeated 17 requests to stop, did not stop. 18 And now he has decided, unilaterally, to stop 19 the deposition. 20 MR. LINDEGREN: Mr. Baron, you may not --21 MR. BARON: And, now, Mr. --22 MR. LINDEGREN: -- that is absolutely --MR. BARON: -- now, Mr. Lindegren is back. 23 24 MR. LINDEGREN: -- I was here the whole time 25 listening to you, sir.

1 MR. BARON: Well, you stopped the -- do not 2 interrupt me. 3 MR. LINDEGREN: What you just said is --4 MR. BARON: You can go ahead. We'll just sit 5 here and --6 MR. LINDEGREN: The record will reflect what 7 happened today. If you have other questions, you now 8 have an hour and 55 minutes to ask them. 9 You've now said three times, I think, you don't have further questions today. That's fine. Then we're 10 11 done. 12 And we'll argue with you, if we have to, over whether anyone comes back. But we're done, unless you 13 14 have further questions. No one has done any of the 15 things you're accusing them of. 16 Do you have further questions today, sir? 17 MR. BARON: Yeah. No. It's snowing outside in San Diego also; right, Mr. Lindegren, according to your 18 19 rhetoric. For the third time, I will advise the witness, 20 21 as I've stated multiple times already, I have no more 22 questions here for you today. 23 This will be -- your deposition will be continued. I am stopping the deposition because of your 24 25 lawyer's behavior.

1 Now, if -- so this deposition is over. Court Reporter, this deposition --2 3 MR. LINDEGREN: Well --4 MR. BARON: -- for Dr. Wadhwa is over. 5 MR. LINDEGREN: -- there won't be another 6 deposition, and you're -- you're -- your statements about conduct, Mr. Baron -- I would have to read the 7 8 transcript. 9 You've had a free reign to take whatever kinds 10 of questions you wanted other than when I've objected to 11 your questions. 12 If you have further questions, which you don't, 13 you should ask them today. Otherwise, the deposition is 14 concluded, as far as we're concerned. 15 I understand your point of view, and you can try 16 to do what you want to do, but I'm not going to further 17 inconvenience this witness to take time for you, and 18 you've chosen not to proceed. 19 So thank you. We'll -- we'll go check with 20 Mr. Stehli and -- and let you know. 21 MR. BARON: We'll be back at 3:00 o'clock. 22 I'm letting you know that Annamarie Stehli's deposition will be conducted at 3:00 o'clock. 23 24 MR. LINDEGREN: Terrific. That's the time you noticed it for. Awesome. All right. Thank you. 25

1 We're off the record. 2 MR. BARON: Mr. Court Reporter, so we'll be back 3 at 3:00 o'clock, then? 4 THE COURT REPORTER: Okay. 5 Mr. Lindegren, do you want a copy of this, and 6 Mr. Alvarez? 7 MR. LINDEGREN: We're -- we're together, 8 Mr. Anderson, so we're the same firm, same party. So 9 one -- one copy is fine, sir. 10 THE COURT REPORTER: Okay. MR. LINDEGREN: Thank you, though. Yeah, 11 12 we're -- we're in the same office. 13 THE COURT REPORTER: I just didn't ask Mr. Alvarez, when he took the first deposition, so I was 14 15 just --16 MR. LINDEGREN: We would like the first 17 deposition, too, Mr. Anderson. 18 THE COURT REPORTER: Thank you so much, sir. 19 MR. LINDEGREN: Yeah. We'll, probably, just put 20 it on the record with Ms. Stehli, but we'll take one of 21 Stehli, too. So all three, we'll take. Thank you for 22 asking. 23 THE COURT REPORTER: All right. Thank you. 24 (Whereupon, the proceedings recessed at the 25 hour of 1:58 p.m.)

1	I certify or declare under declaration
2	under penalty of perjury that the foregoing
3	testimony is true and correct.
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5	Executed this day of
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7	2021, at, California.
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9	
10	
11	
12	PATHIK WADHWA, M.D., Ph.D.
13	THIRE WIDIWIT, P.D., TH.D.
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1	STATE OF CALIFORNIA )
2	) ss
3	COUNTY OF LOS ANGELES )
4	
5	
6	I, WILLIE ANDERSON, JR., Certified Shorthand
7	Reporter qualified in and for the State of California,
8	do hereby certify:
9	That the foregoing transcript is a true and
10	correct transcription of my original stenographic notes.
11	I further certify that I am neither attorney
12	or counsel for nor related to or employed by any of the
13	parties to the action in which this proceeding was
14	taken; and furthermore, that I am not a relative or
15	employee of any attorney or counsel employed by the
16	parties hereto or financially interested in the action.
17	IN WITNESS WHEREOF, I have hereunto set my
18	hand this 12th day of February, 2021.
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20	
21	
22	WILLIE ANDERSON, JR.,
23	CSR No. 13385
24	
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